

PATENT
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Customer No. 020991

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ernest C. Chen

Serial No.: 09/844,401

Filed: April 27, 2001

For: LAYERED MODULATION FOR DIGITAL
SIGNALS

Group Art Unit: 2631

Examiner: Emmanuel Bayard

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The references cited on the enclosed substitute form for PTO/1449, (copies of references required enclosed) may be material to the examination of the above-identified patent application and are, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR 1.56, applicants submit herewith copies of non-patent literature only. It is respectfully requested that the references be considered and made of record in the application identified above.

This information Disclosure Statement is not to be construed as a representation that a search has recently been made, that additional information material to the examination of this application does not exist, or that this citation constitutes prior art.

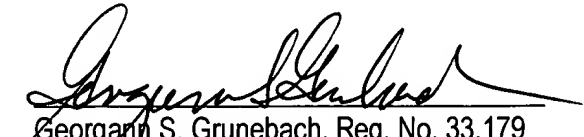
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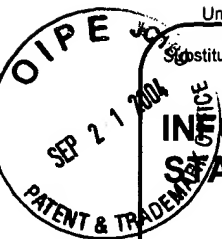
Respectfully submitted,



Georgann S. Grunebach, Reg. No. 33,179
Attorney for Applicants

Enclosure: Modified Form for PTO-1449 w/required references

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known			
		Application Number	09/844,401		
		Filing Date	April 27, 2001		
		First Named Inventor	Ernest C. Chen		
		Art Unit	2631		
		Examiner Name	Emmanuel Bayard		
Sheet	1	of	1	Attorney Docket Number	PD-200181

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		MAZZINI, GIANLUCA "Power Division Multiple Access" UNIVERSAL PERSONAL COMMUNICATIONS, 1998. ICUPC 1998. IEEE 1998. INTERNATIONAL CONFERENCE ON FLORENCE, ITALY 5-9 OCT. 1998, NEW YORK, NY, USA, IEEE, US, 5 October 1998 (1998-10-5), pages 543-546, XP010314962 ISBN: 0-7803-5106-1.	
		SALEH, A.A.M. et al.: "Adaptive Linearization of Power Amplifiers in Digital Radio Systems" BELL SYSTEM TECHNICAL JOURNAL, AMERICAN TELEPHONE AND TELEGRAPH CO. NEW YORK, US, vol. 62, no. 4, PART 1, 1 April 1983 (1983-04-01), pages 1019-1033, XP002028354.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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